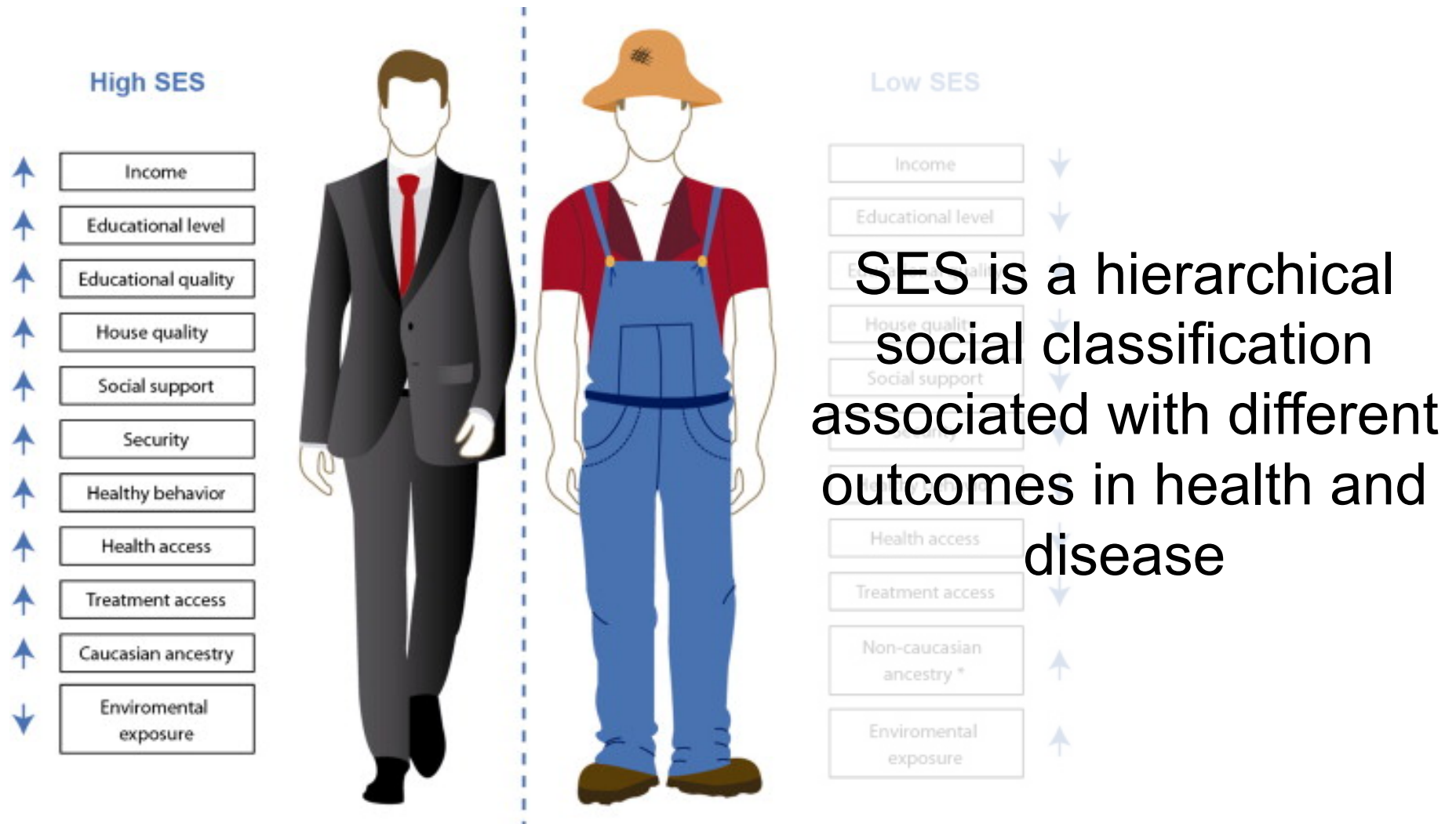




# Socioeconomic State & Intestinal Microbiota

Ali Keshavarzian, MD  
Rush University Medical Center  
Chicago, IL

# Socioeconomic Status (SES)



# Socioeconomic (SES) and Disease

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- SES disparities in morbidity/mortality from chronic disease is well-established
- Residents of low SES neighborhoods have higher rates of disease than individuals from more affluent neighborhoods including:
  - Asthma
  - Diabetes
  - Myocardial infarction
  - Stroke
  - Overall mortality

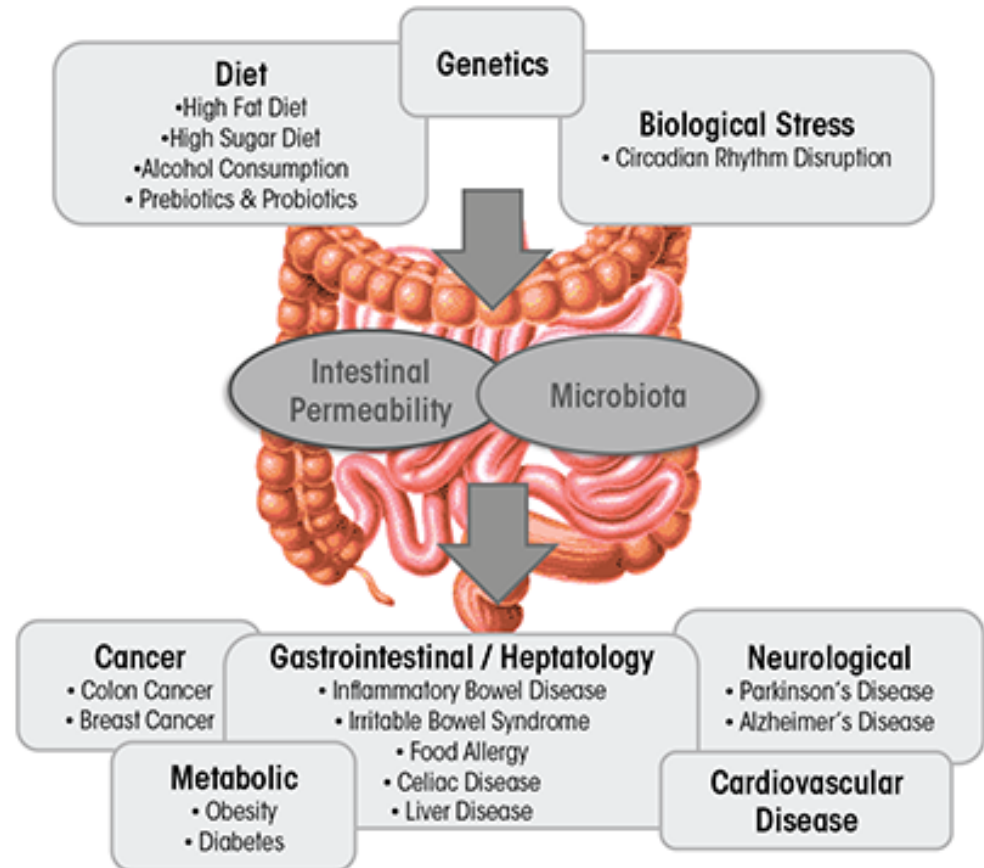
Common feature of many of these diseases...

*low-grade inflammation*

# Inflammatory Disease and the Microbiota

- Many inflammatory conditions are characterized by alterations in the composition of the intestinal microbiota, with a decrease in microbiota diversity including:

- Obesity
- Diabetes
- IBD
- Asthma
- Heart disease
- Cancer



# Intestinal Microbiota

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- Genetics, life history (vaginal/cesarean, bottle/breastfed), and diet impact the intestinal microbiota and although most humans have similar microbiota no two people are exactly the same
- Cohabiting individuals tend to have similar microbiota suggesting that diet and shared environment shape the intestinal microbiota
- Lifestyle factors also influence the microbiota
  - Consumption of processed foods
  - Physical inactivity
  - Visceral adiposity
  - Psychosocial stress
  - Antibiotic use
  - Exposure to pollutants or toxicants

# Socioeconomic Status (SES) & Microbiota

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Could lifestyle factors associated with low SES communities influence the intestinal microbiota and predispose low SES communities to higher inflammation-mediated disease?

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RESEARCH ARTICLE

# Lower Neighborhood Socioeconomic Status Associated with Reduced Diversity of the Colonic Microbiota in Healthy Adults

**Gregory E. Miller<sup>1\*</sup>, Phillip A. Engen<sup>2</sup>, Patrick M. Gillevet<sup>3</sup>, Maliha Shaikh<sup>2</sup>, Masoumeh Sikaroodi<sup>3</sup>, Christopher B. Forsyth<sup>2</sup>, Ece Mutlu<sup>2</sup>, Ali Keshavarzian<sup>2</sup>**

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# Methods

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- Neighborhood SES

- 3y estimates (2010-2012) of median household income, education and employment characteristics, and median home value were obtained for each neighborhood. Indicators were standardized and averaged to form a neighborhood SES composite score
- Higher scores represent more affluent and educated neighborhoods

- Specimen collection

- Mucosal biopsies (n=41) and fecal samples (n=26) were collected via a limited, un-prepped sigmoidoscopy (20–25cm from anal verge). Suction was not used during advancement of the scope and the biopsy forceps was not taken out of the channel of the scope until sample collection. Biopsies were taken from pink mucosa without visible feces at the sigmoid colon ~20cm from the anal verge



# Subject Cohort

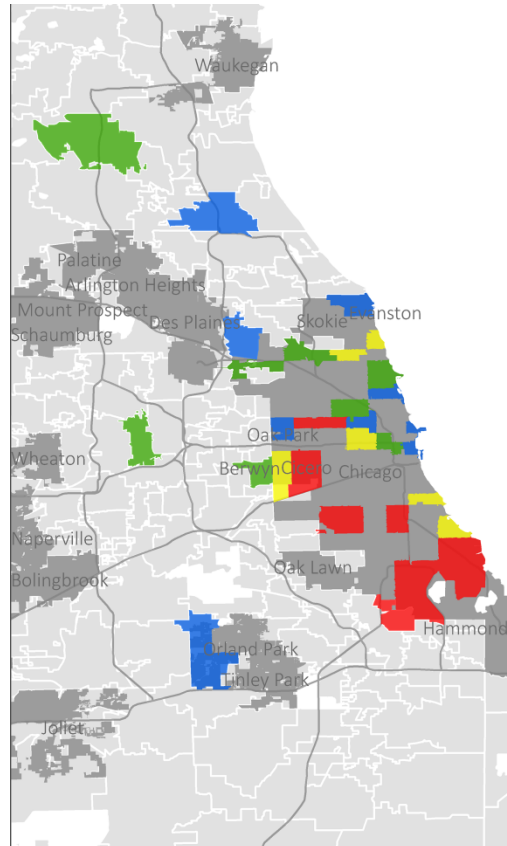
el

Healthy Control Subjects ( <i>n</i> = 44)	Mean (SD) or Percent	Range
Age (years)	39.1 (14.2)	20–72
Gender (Female)	61.4%	-
Caucasian	50.0%	-
African-American	34.1%	-
Body Mass Index (kg/m <sup>2</sup> )	27.9 (6.7)	19.6–45.4
Current Smoker	14.3%	-
Alcohol Use (years)	12.1 (9.9)	0–43
Median Household Income (2012 dollars)	58,042 (22,400)	20,100–129,570
Median Home Value (2012 dollars)	297,495 (123,762)	121,259–565,975
Percent Employed	89.1 (5.4)	65.3–95.6
Percent High School Graduates	86.4 (9.3)	61.8–99.5
Neighborhood SES Composite	0.05 (0.89)	-2.0 –+1.9
Sigmoid Mucosa Alpha-Diversity (Shannon)	6.3 (0.8)	4.3–7.7
Sigmoid Mucosa Alpha-Diversity (Chao1)	1009 (613)	124–2426
Feces Alpha-Diversity (Shannon)	7.8 (0.7)	5.7–8.8
Feces Alpha-Diversity (Chao1)	2841 (1175)	459–6182

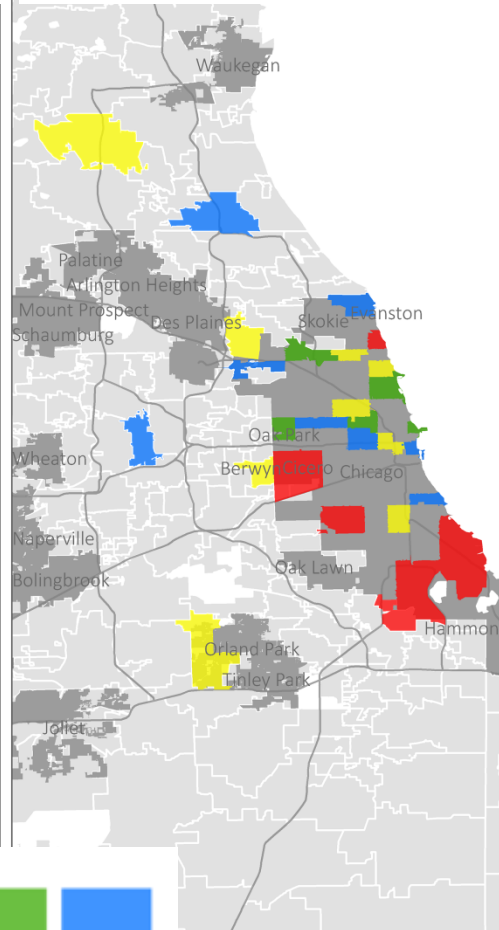
PLOS One

# Mucosal Associated-Microbiota SES and $\alpha$ -Diversity

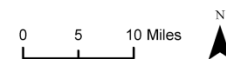
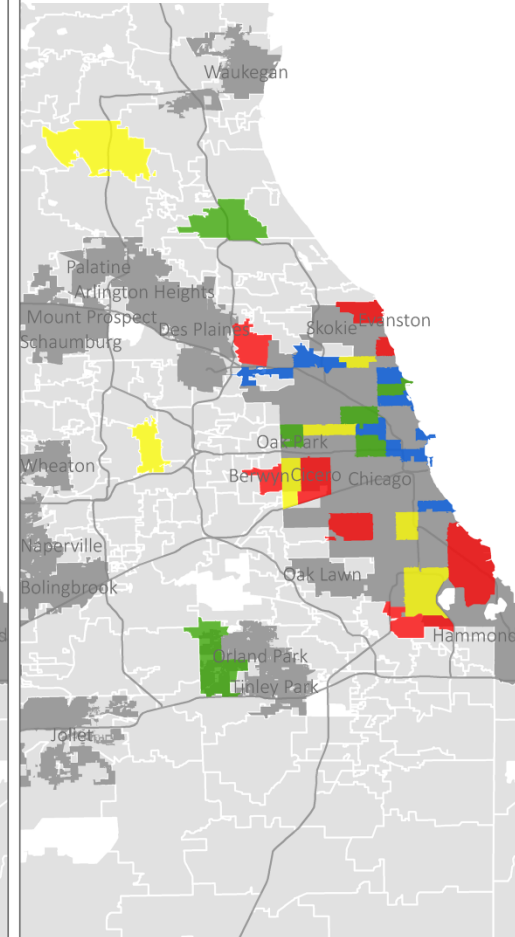
## Neighborhood SES



## Chao 1 Index

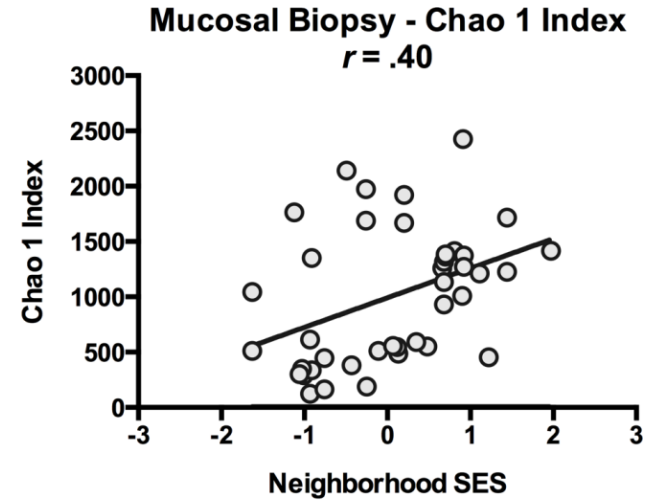
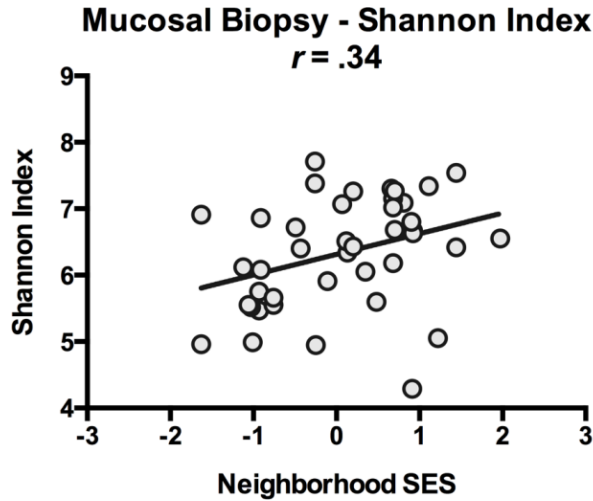


## Shannon Index

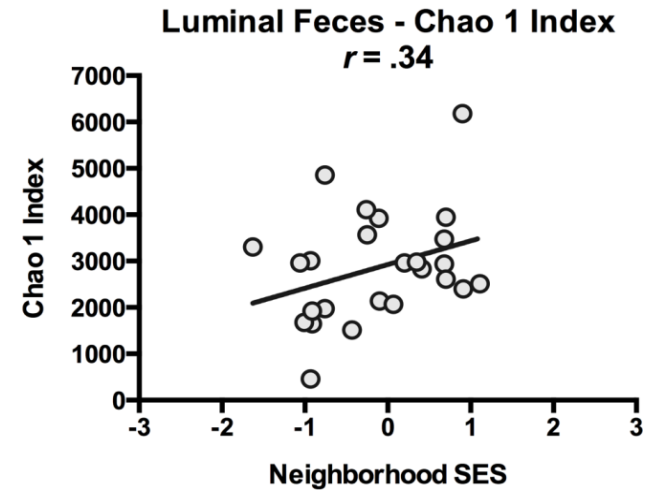
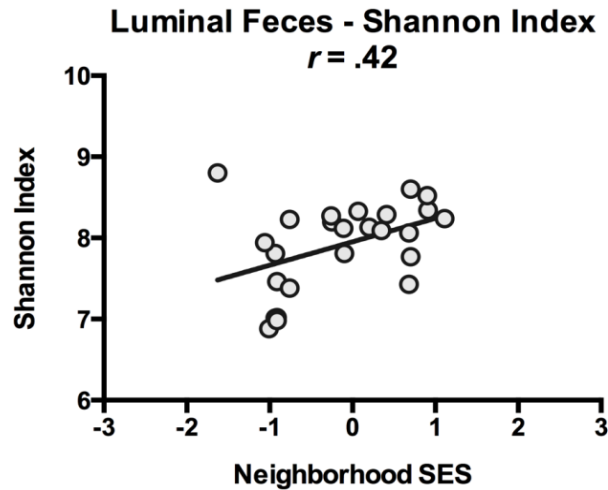


# $\alpha$ -Diversity Positively Correlates with SES

Mucosa



Lumen



# $\alpha$ -Diversity Positively Correlates with SES

## Mucosa

## Feces

	Sigmoid Mucosa Shannon Index <i>n</i> = 41	Sigmoid Mucosa Chao1 Index <i>n</i> = 41	Feces Shannon Index <i>n</i> = 26	Feces Chao1 Index <i>n</i> = 25
Unstandardized Coefficient (B)	0.31	0.27	0.29	0.51
Standard Error B	0.14	0.10	0.13	0.29
95% Confidence Interval	.03, .59	.07, .47	.02, .56	-.09, 1.12
Standardized Coefficient ( $\beta$ )	0.34	0.40	0.42	0.34
<i>P</i> -Value	<b>0.03*</b>	<b>0.01*</b>	<b>0.04*</b>	0.09
Variance Explained ( $R^2$ )	0.12	0.16	0.18	0.12

\**P*-Value < 0.05

doi:10.1371/journal.pone.0148952.t002

# Neighborhood SES Predicts $\alpha$ -Diversity After Adjusting for Covariates

## Mucosa

## Feces

Sigmoid Mucosa Shannon Index  $n = 41$

Sigmoid Mucosa Chao1 Index  $n = 41$

Feces Shannon Index  $n = 26$

Feces Chao1 Index  $n = 25$

	<b>Demographic Covariates</b>			
<b>Adjusted for Demographic Covariates</b>				
Unstandardized Coefficient (B)	0.35	0.29	0.35	0.66
Standard Error B	0.17	0.12	0.16	0.36
Standardized Coefficient ( $\beta$ )	0.39	0.42	0.52	0.43
P-Value	0.09	<b>0.02*</b>	<b>0.04*</b>	0.08
Incremental Variance ( $\Delta R^2$ )	0.11	0.13	0.18	0.13
<b>Adjusted for Lifestyle Covariates</b>				
<b>Lifestyle Covariates</b>				
Unstandardized Coefficient (B)	0.45	0.30	0.28	0.77
Standard Error B	0.18	0.13	0.16	0.30
Standardized Coefficient ( $\beta$ )	0.45	0.41	0.42	0.54
P-Value	<b>0.02*</b>	<b>0.03*</b>	0.09	<b>0.02*</b>
Incremental Variance ( $\Delta R^2$ )	0.14	0.12	0.13	0.22

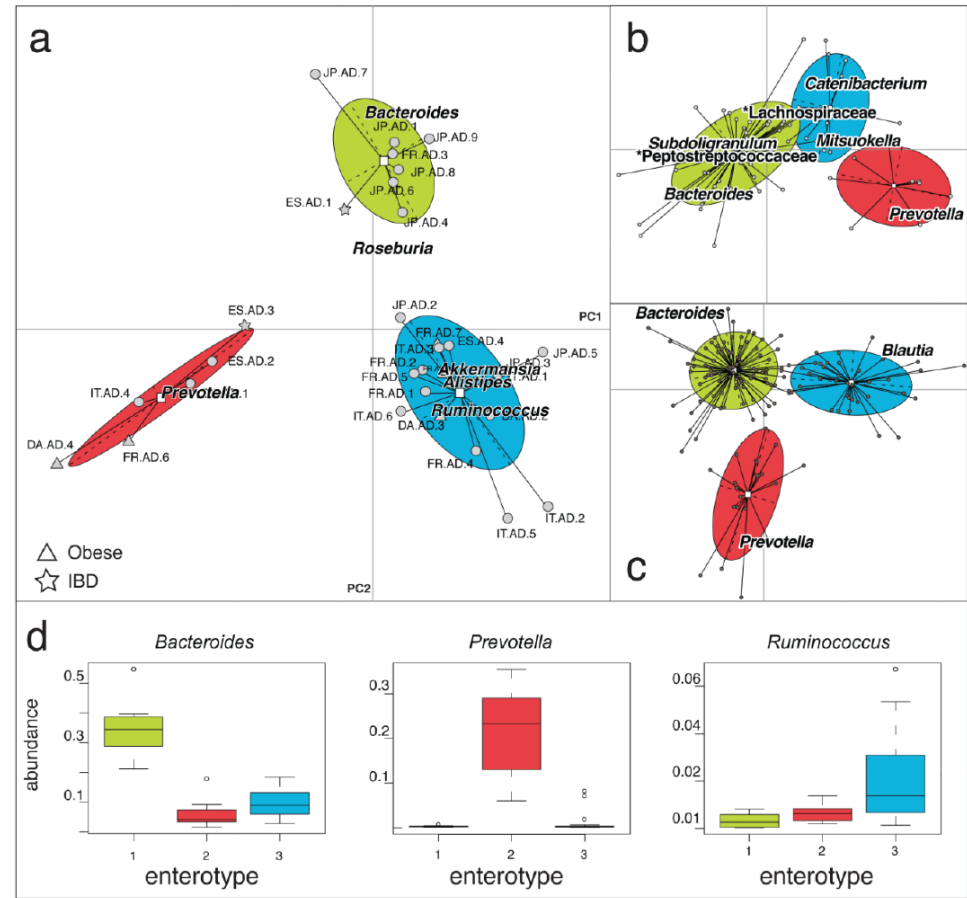
\*P-Value < 0.05

Values reflect association of neighborhood SES with alpha-diversity indicators. Demographic covariates include age, gender, and dummy codes for Caucasian and African-American. Lifestyle covariates include body mass index, smoking status, and alcohol use.

doi:10.1371/journal.pone.0148952.t003

# Microbiota Communities Can be Classified into Enterotypes

- Enterotype is a classification of the intestinal microbiota that vary in species and functional composition:
  - *Prevotella*
  - *Bacteroides*
  - *Ruminococcus*



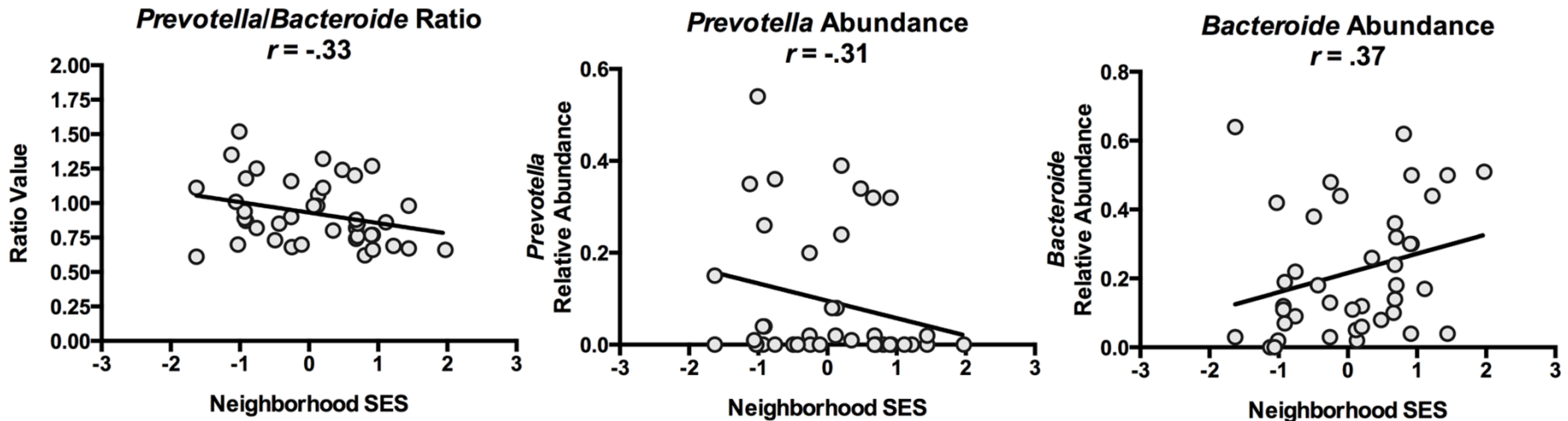
# Enterotype is Associated with SES

	<i>Prevotella</i> n = 41	<i>Bacteroides</i> n = 41	P/B Ratio n = 41	<i>Ruminococcus</i> n = 41
Rank-order correlation	-0.31	0.37	-0.33	-0.05
P-Value	0.05*	0.02*	0.04*	0.77
Variance Explained (R <sup>2</sup> )	0.10	0.14	0.11	0.03

\*P-Value < 0.05

P/B = *Prevotella* to *Bacteroides* Ratio

doi:10.1371/journal.pone.0148952.t004



# Summary

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- *Significant relationships were observed between SES and microbiota diversity in both feces and mucosal-associated microbiota communities*
- *As SES increased so did the level of diversity*
  - 10-20% of variations in diversity were accounted for by SES
  - Does not appear to be related to adiposity, smoking, or alcohol consumption and not completely explained by diet
- *In the greater Chicago area, individuals from more affluent neighborhoods might have diets that are enriched in animal products relative to carbohydrates (based on abundance of Bacteroides and Prevotella)*
- There was no correlation between SES and serum cytokines , markers of endotoxemia and intestinal permeability



# Why should SES of the host impact microbiota composition?

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- Diet
- Alcohol consumption
- Physical activity/inactivity
- Antibiotic use
- Exposure to pollutants or toxicants
- Stress- social support, security
- Early life events
- “Generational” factors
- **Sleep/Circadian Misalignment**

# IBD - Environmental Triggers

## Altered flora

Antibiotics



Diet



## Altered barrier functions

Acute infections



NSAIDs



Smoking

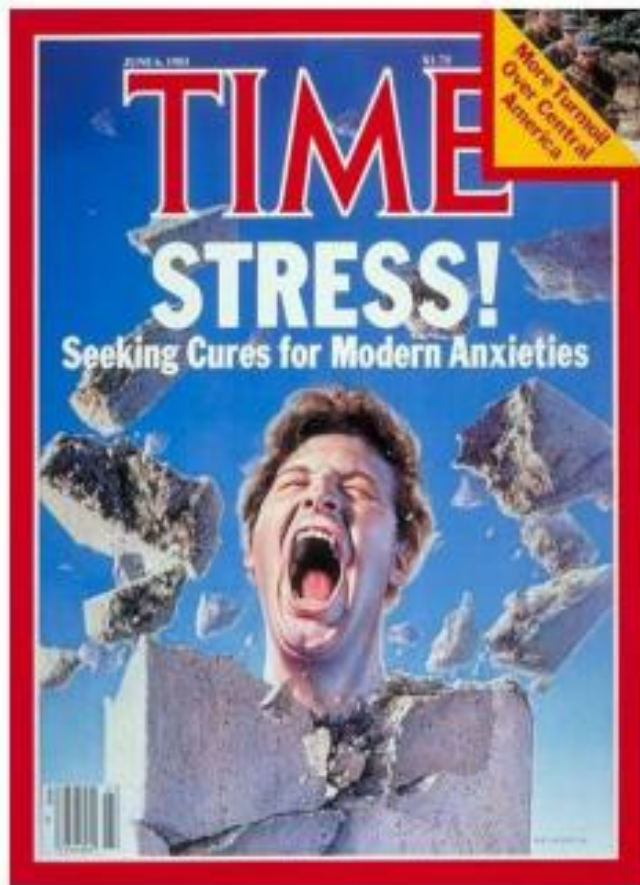


Stress



**IBD**  
Onset and  
Reactivation

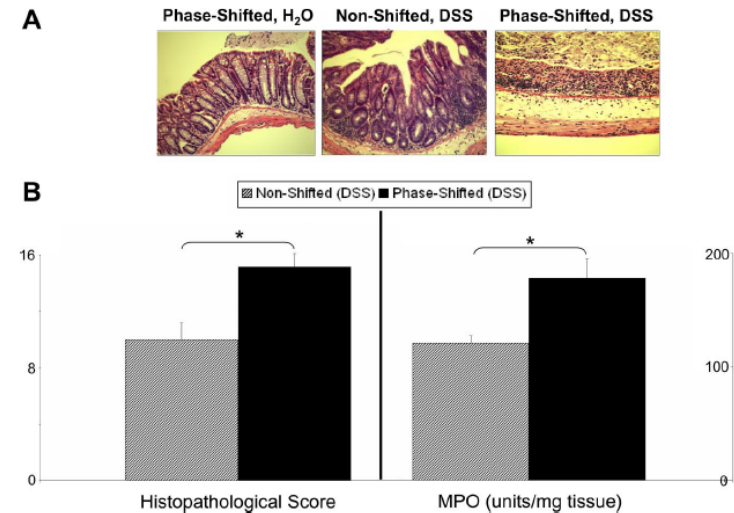
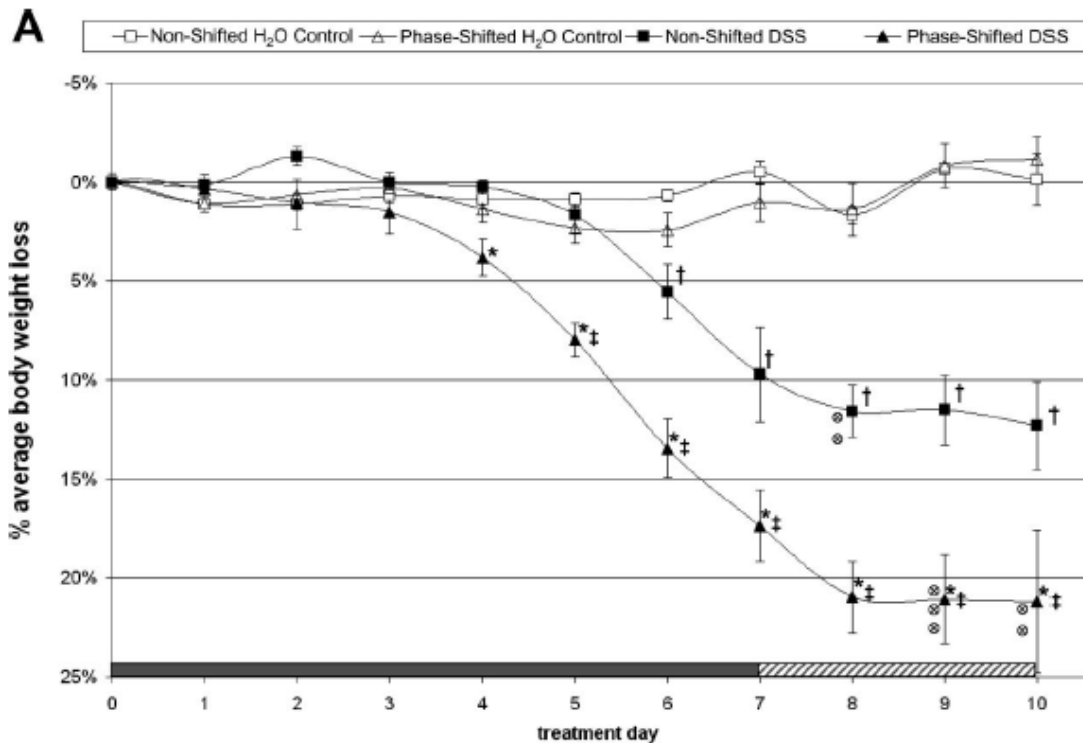






Stressed & Sleep deprived

# Circadian disruption augments vulnerability to chemically induced intestinal injury (DSS)



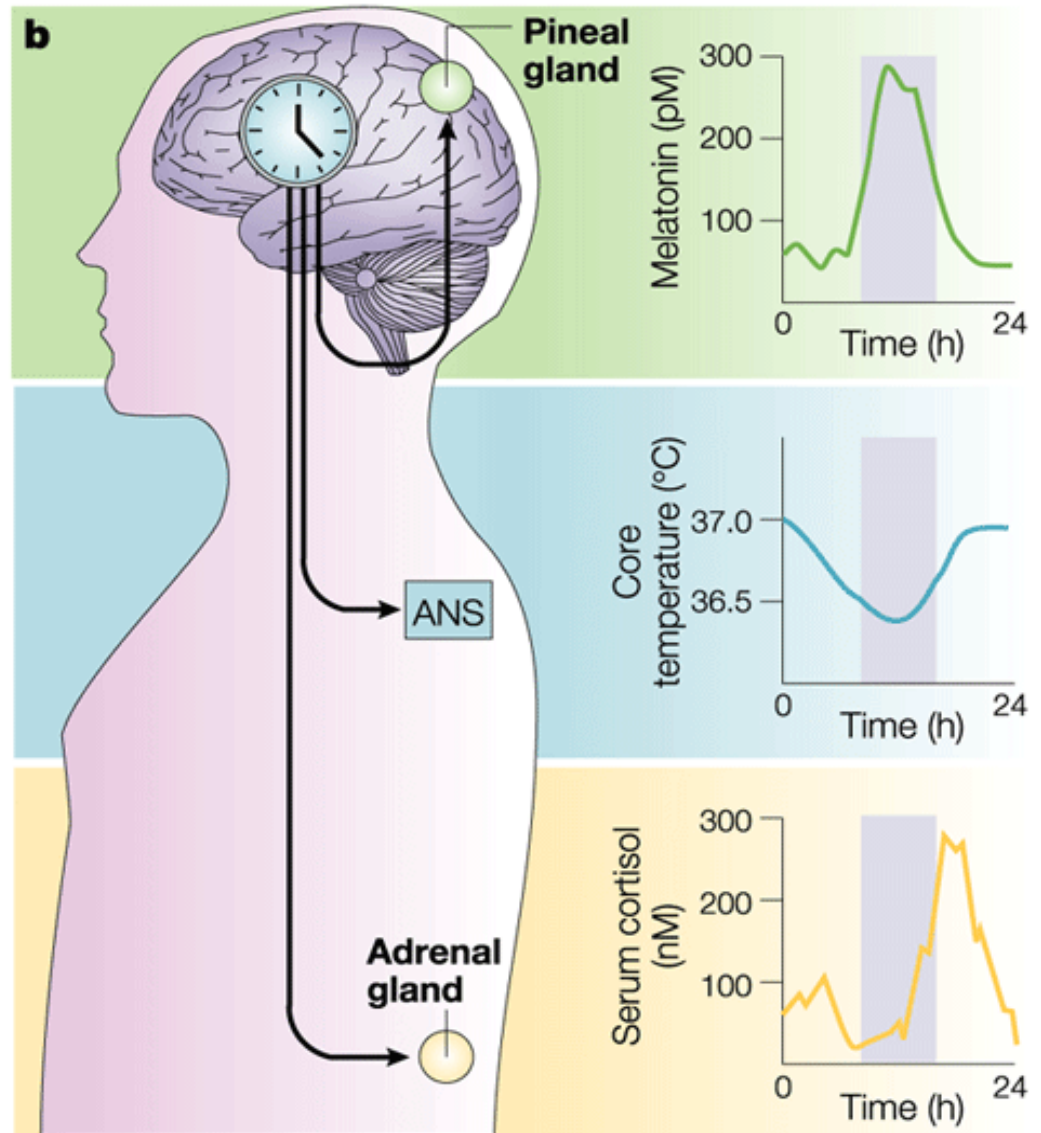
Preuss et al. *Am. J. Physiol.* 2008. **295**: R2034-R2040.

# What are Circadian Rhythms?

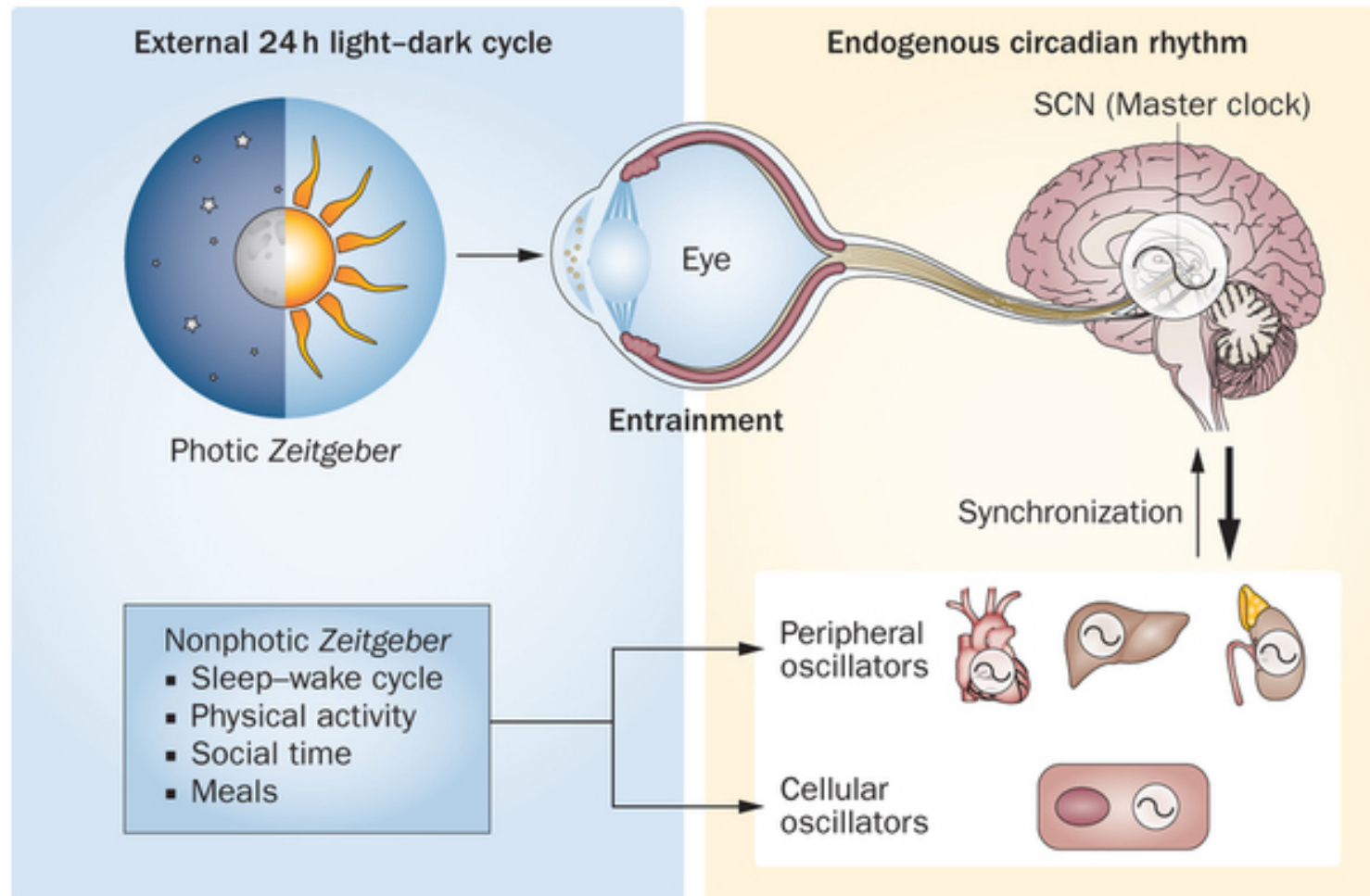
- A circadian rhythm is any biological process that displays an endogenous, entrainable, oscillation of ~24h
  - *Endogenous*: self-sustained
  - *Entrainable*: adjust to cues in the environment
  - *Circadian* comes from the Latin words *circa* ("around") and *diēs* ("day")

# What are Circadian Rhythms?

- Allow an organism to prepare for predictable changes in the environment
  - Light:dark cycles
  - Food availability
  - Physical demands
- Regulate nearly everything!

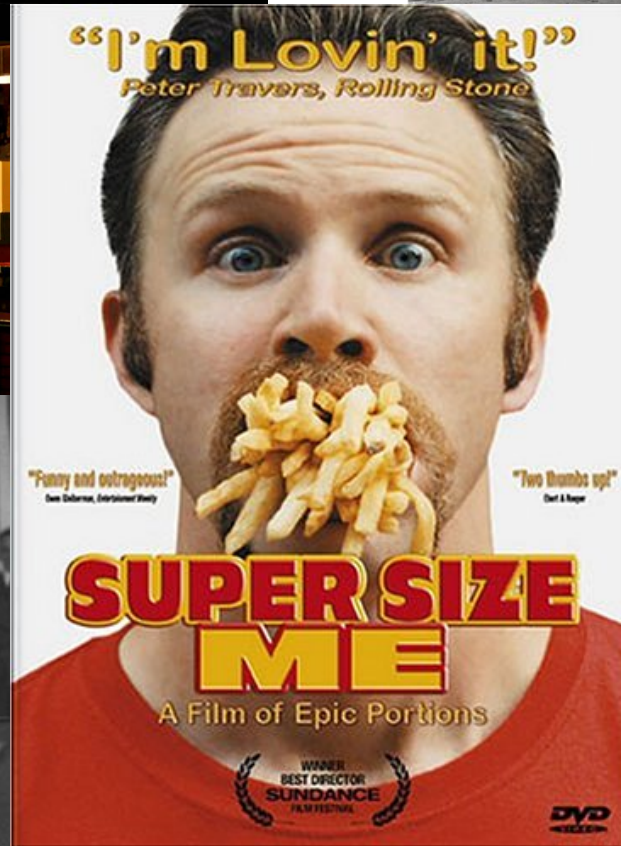


# What Factors Disrupt the Circadian Clock?





# Threats to Good Sleep & Circadian Homeostasis

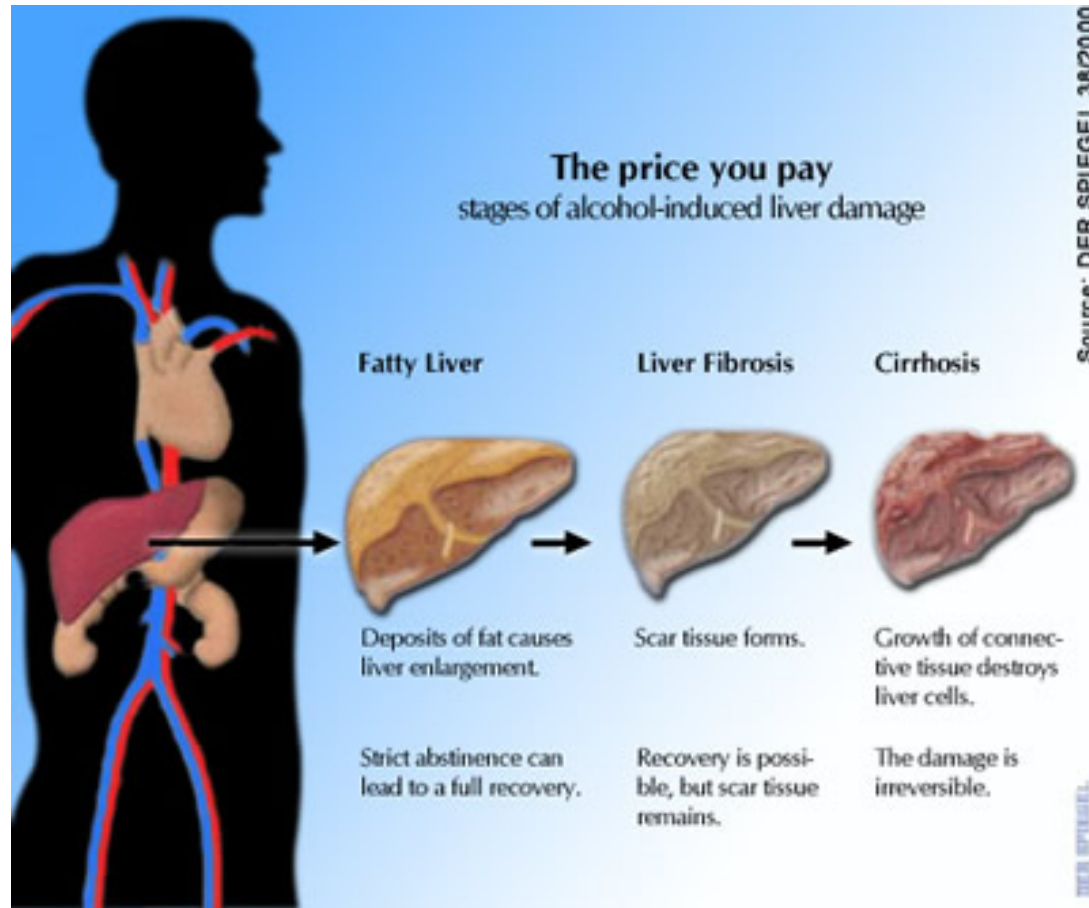


# Diseases Associated with Shift Work

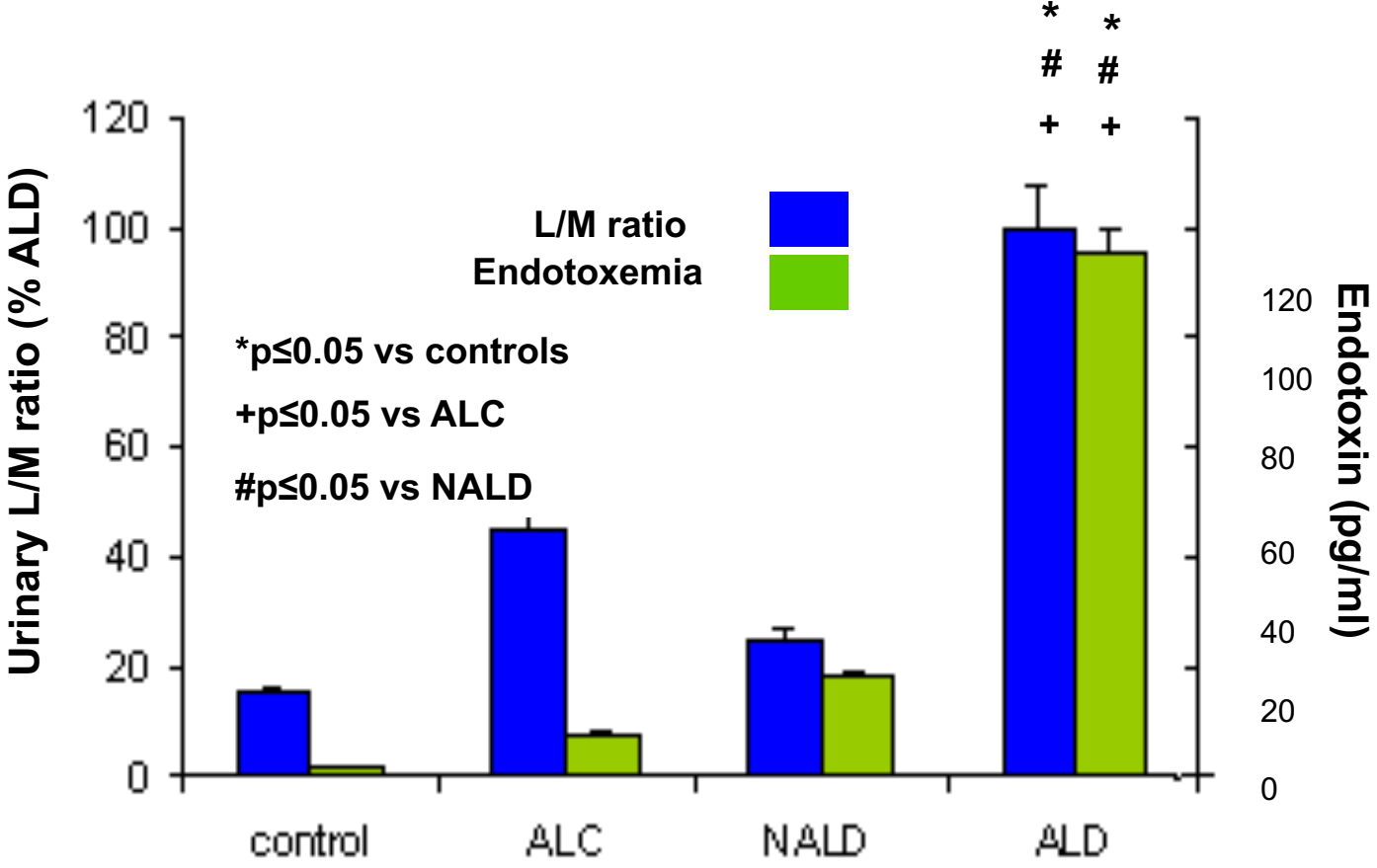
- Obesity, **NASH**
- Metabolic Syndrome, Diabetes
- Cancer (breast, prostate, colon)
- Cardiovascular Disease
- Inflammatory Bowel Disease (IBD)

**Inflammation**

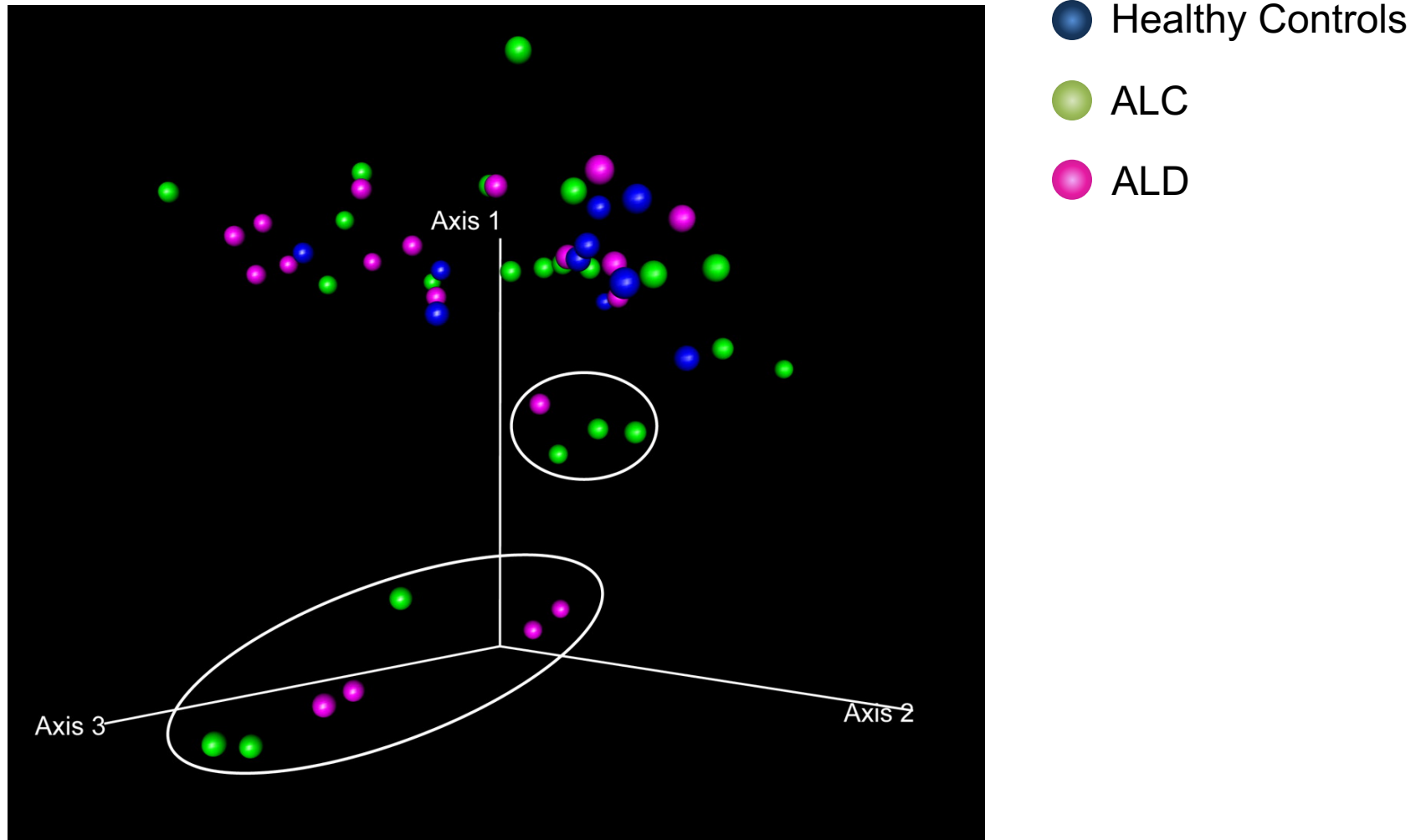
# Alcoholic Liver Disease



# Alcoholics with ALD had endotoxemia and gut leakiness.



# 25% of Alcoholics Differ from the Main Cluster

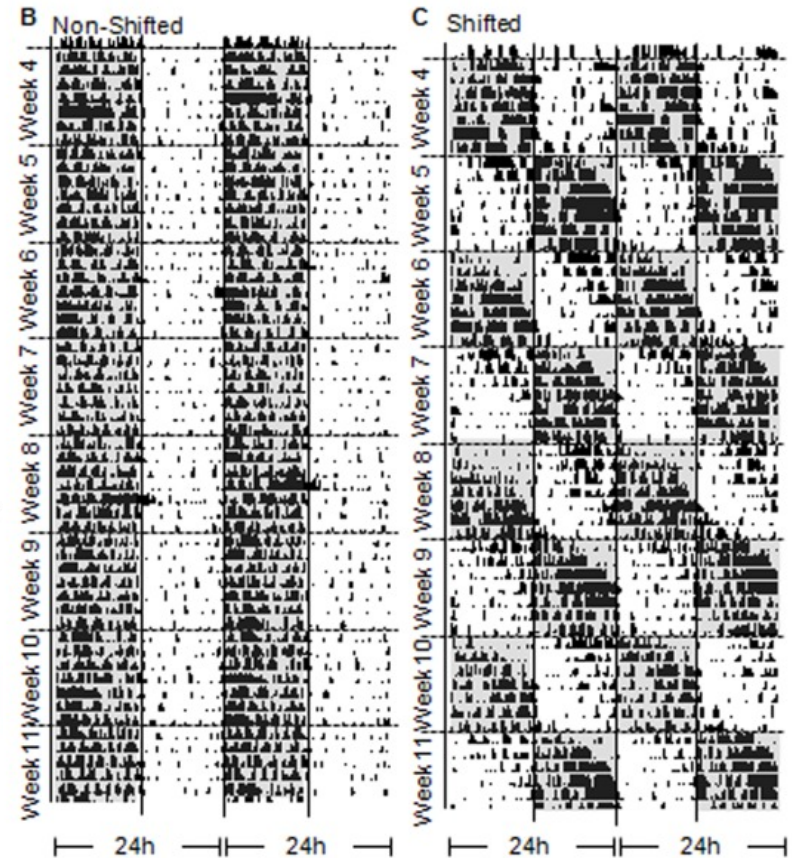
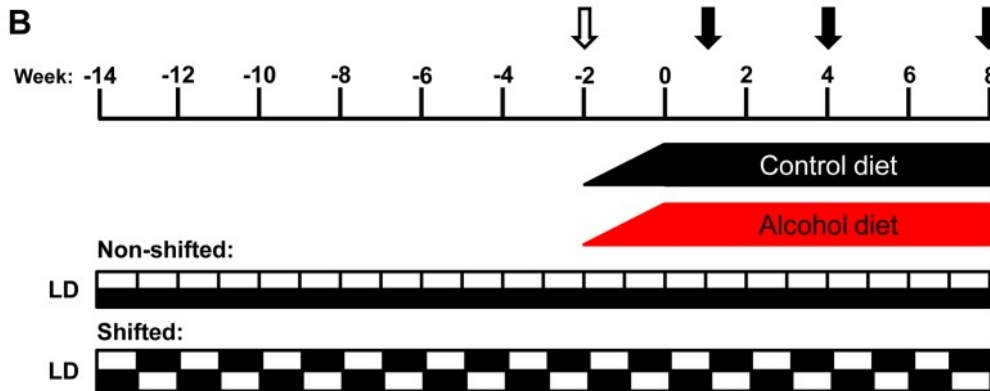


**Can disrupted circadian homeostasis  
promote gut leakiness and dysbiosis in  
alcohol fed mice?**



# Models of Circadian Rhythm Disruption

## Environmental Circadian Rhythm Disruption



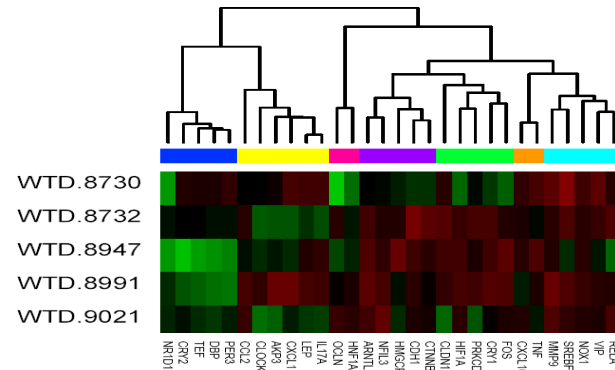
# Experimental Outcomes

## Intestinal Barrier Function: Intestinal Permeability



Administer: Sucralose, Sucrose, Lactulose, Mannitol  
Measure: Urinary sugar content

## Gene Expression



## Microbiome: Stool Microbiota



## End Organ Damage: Liver Pathology

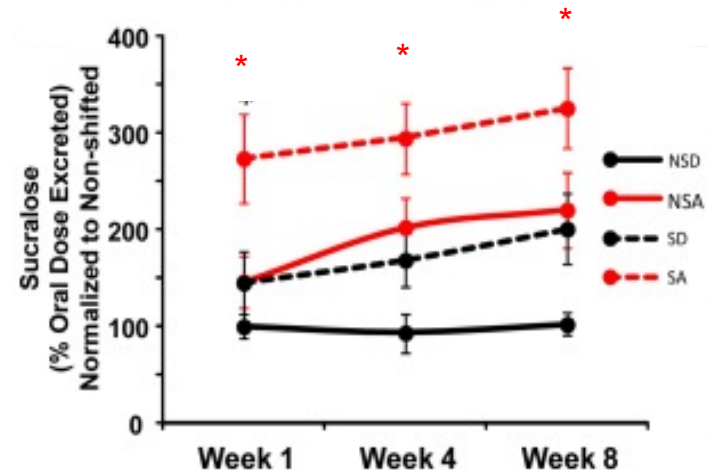
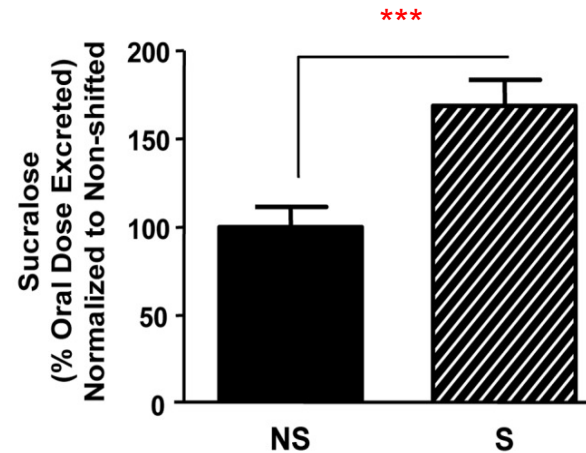
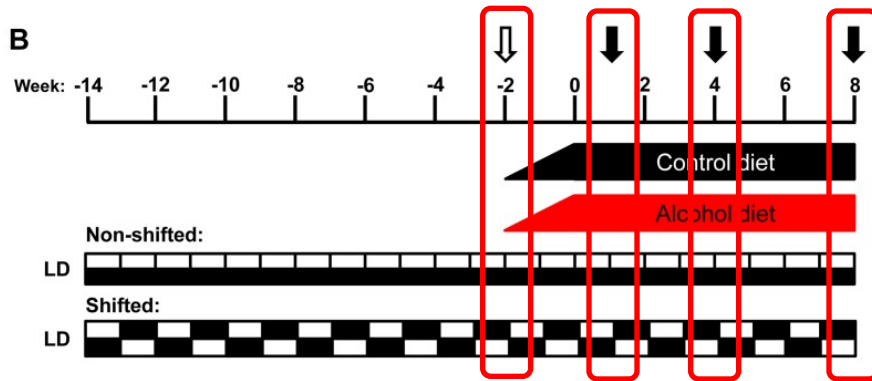


Steatosis & Lobular Inflammation

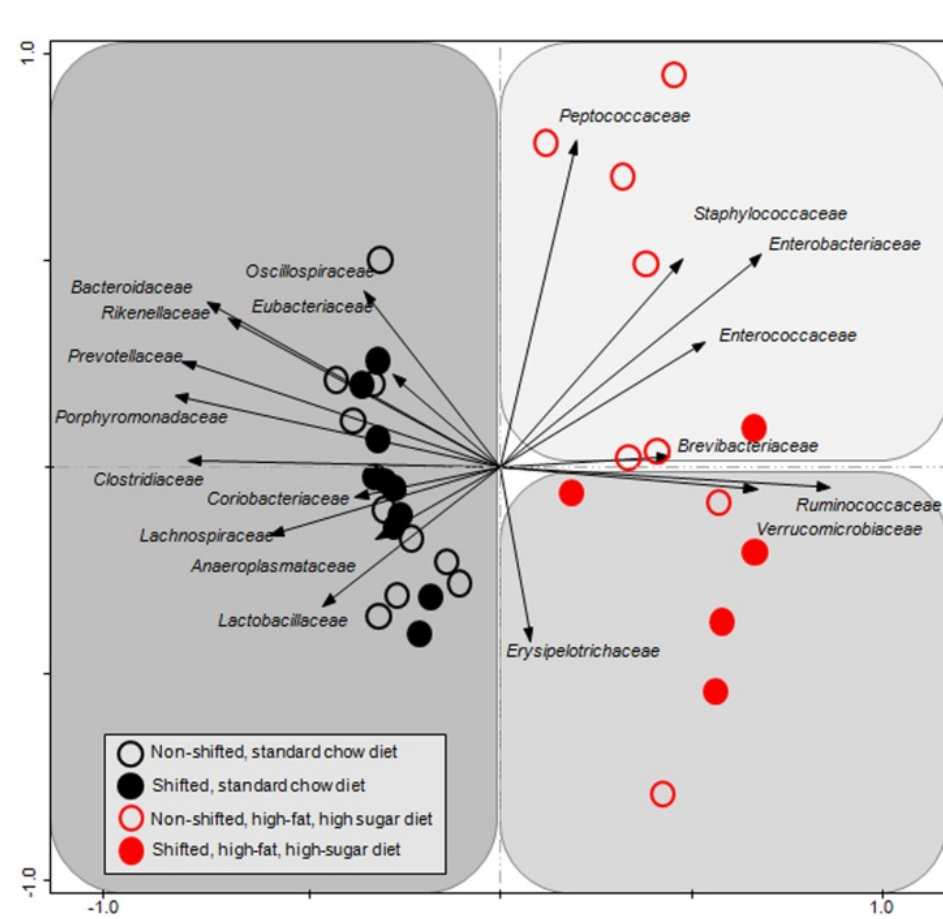


# Disrupted Circadian Rhythm Promotes alcohol-induced Intestinal Permeability

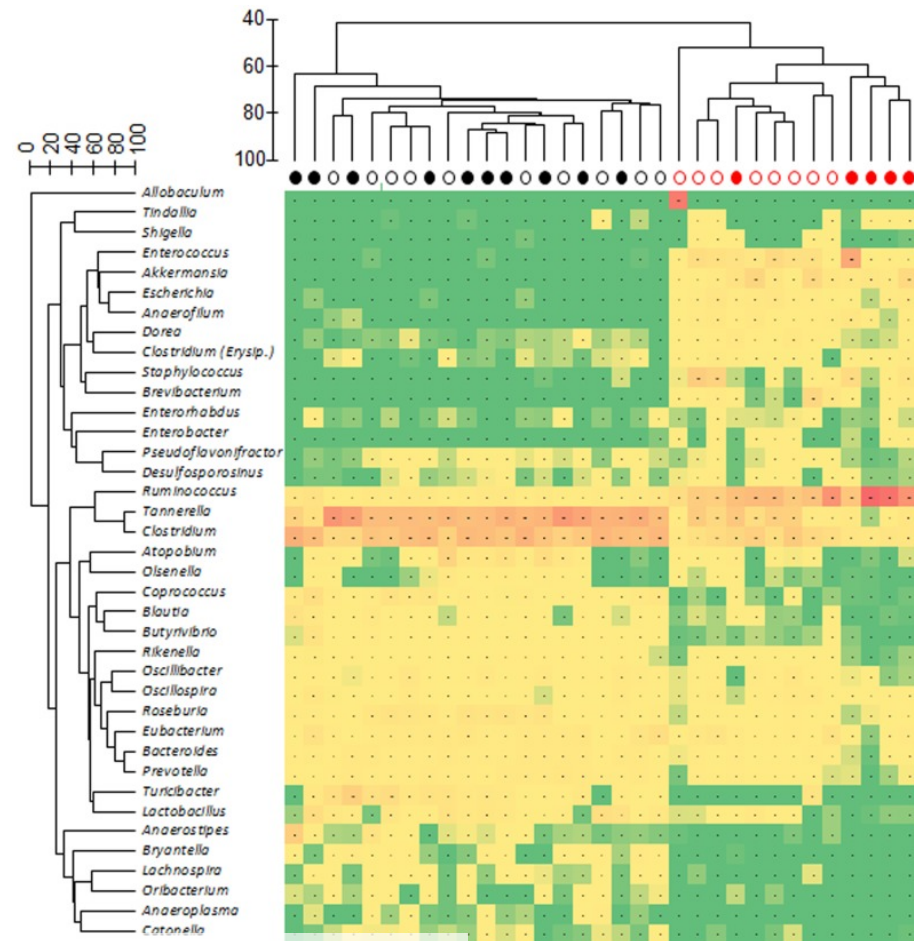
## Environmental Circadian Rhythm Disruption



# Environmental Disruption Alters the Microbiota Under “Challenging” Conditions

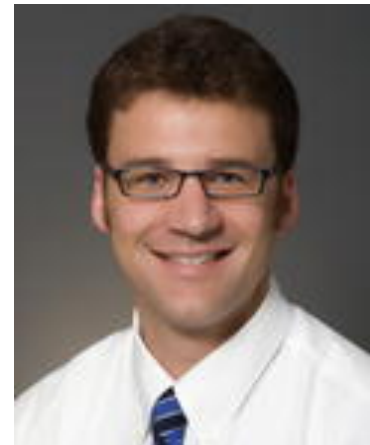


Family level

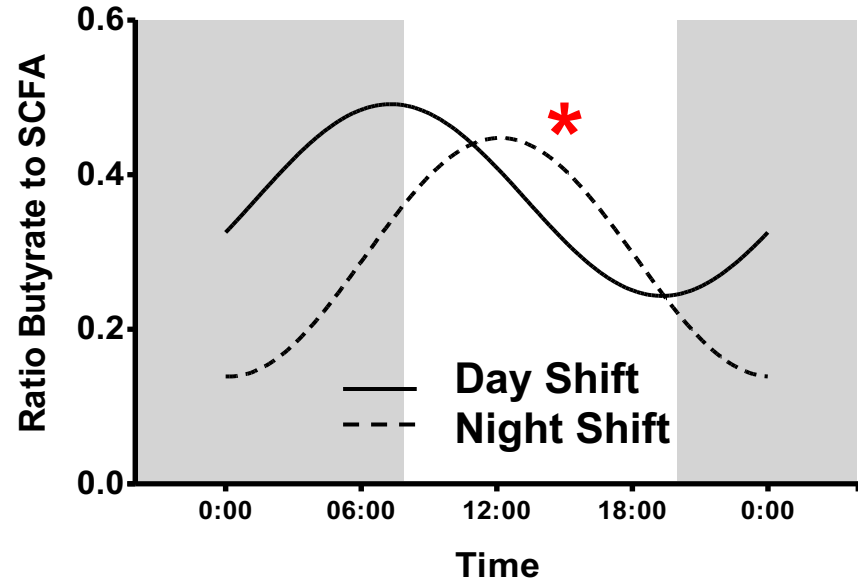
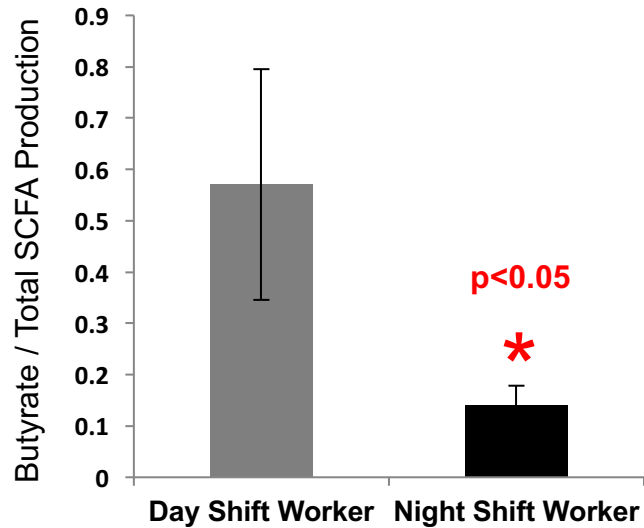


Genus level

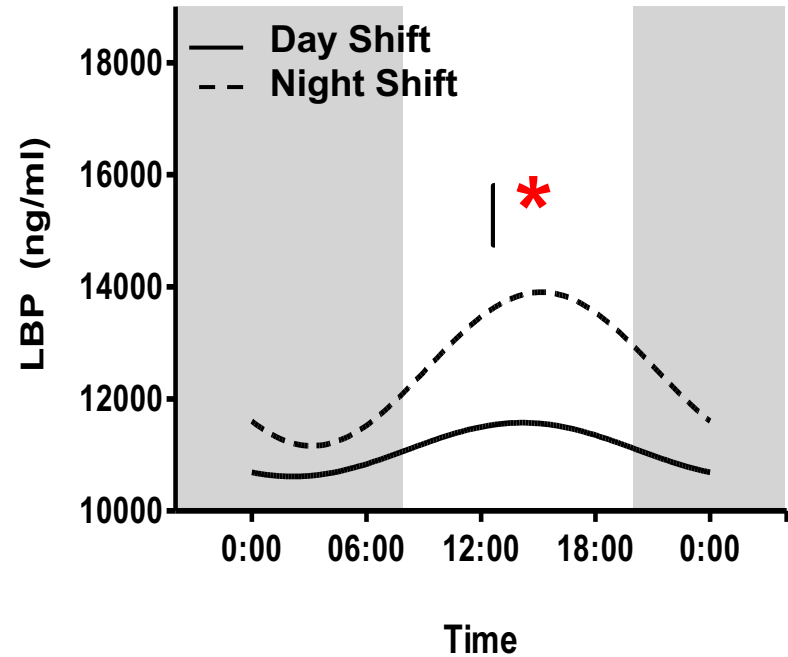
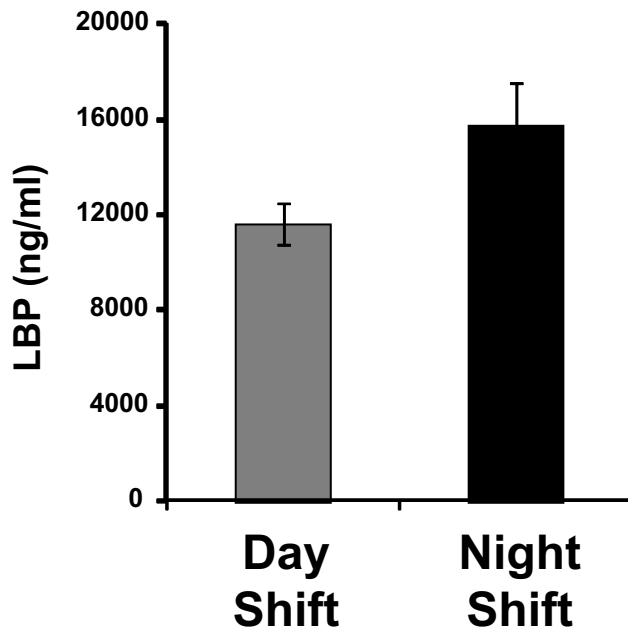
**Can disrupted circadian homeostasis  
promote gut leakiness in humans?**



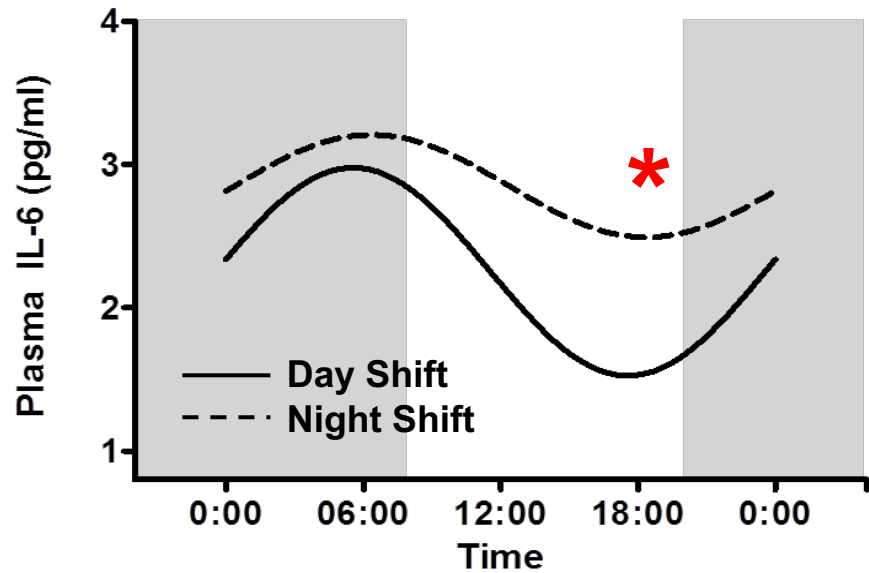
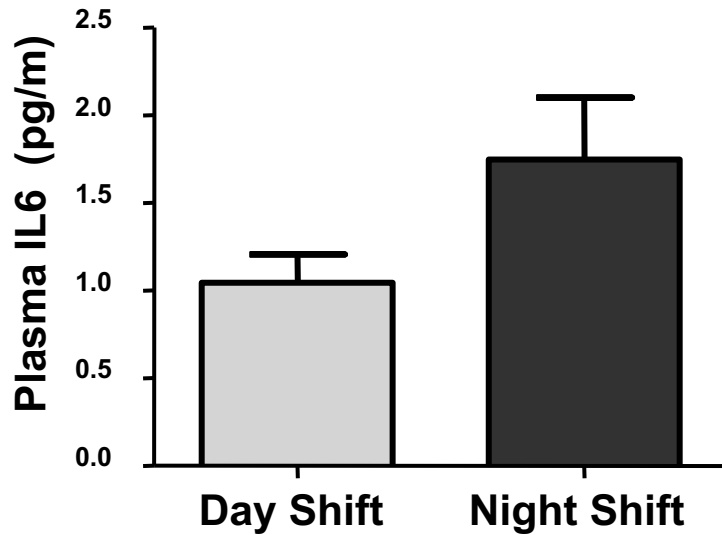
# Butyrate Production as a Ratio of Total SCFA Production



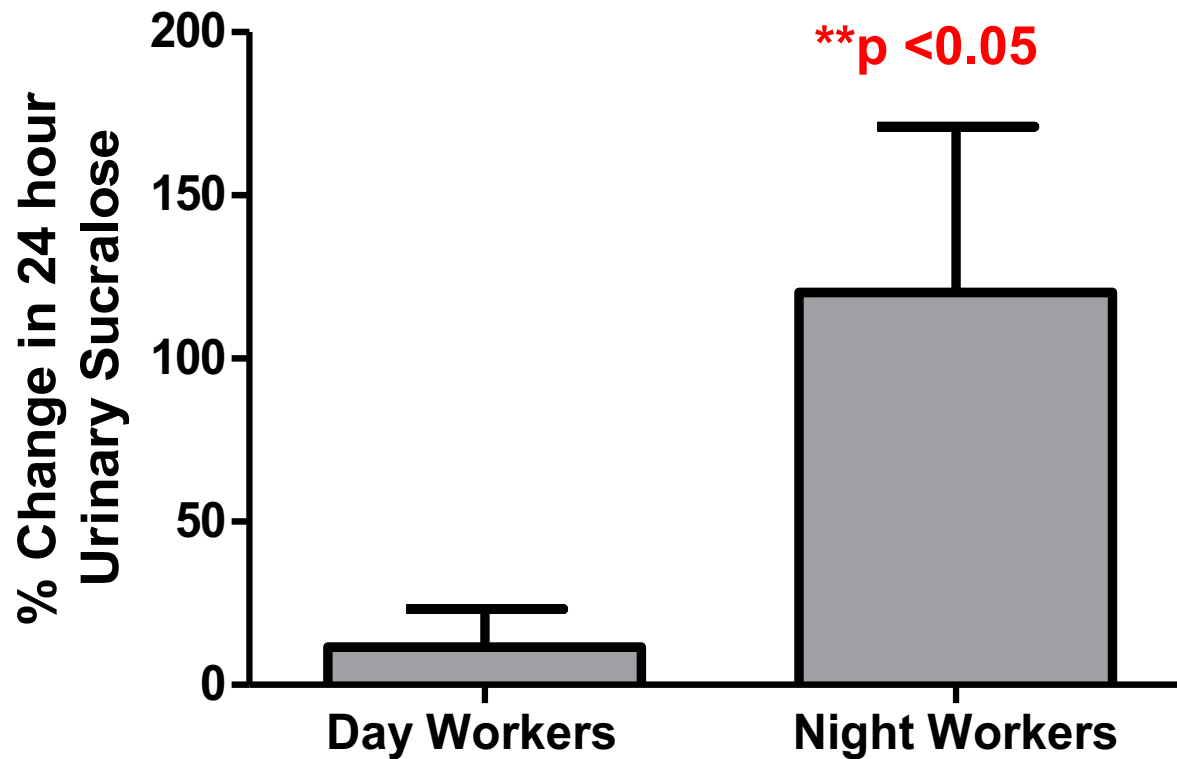
# Serum LBP in Day Shift & Night Shift Workers



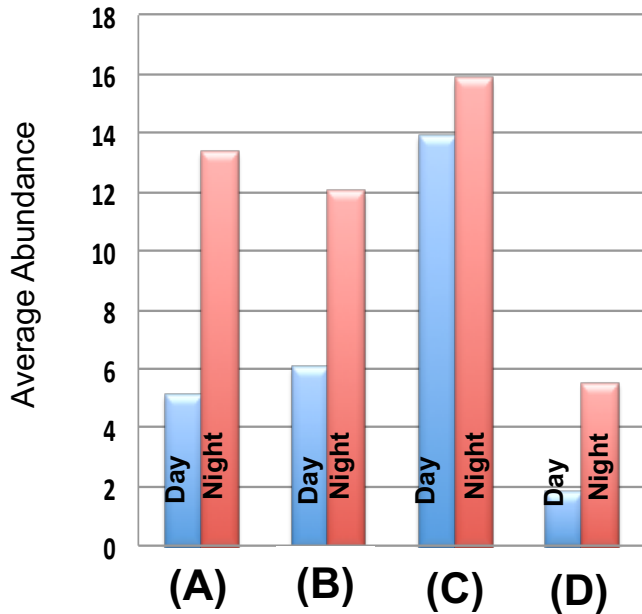
# Plasma IL6 in Day Shift and Night Shift Workers



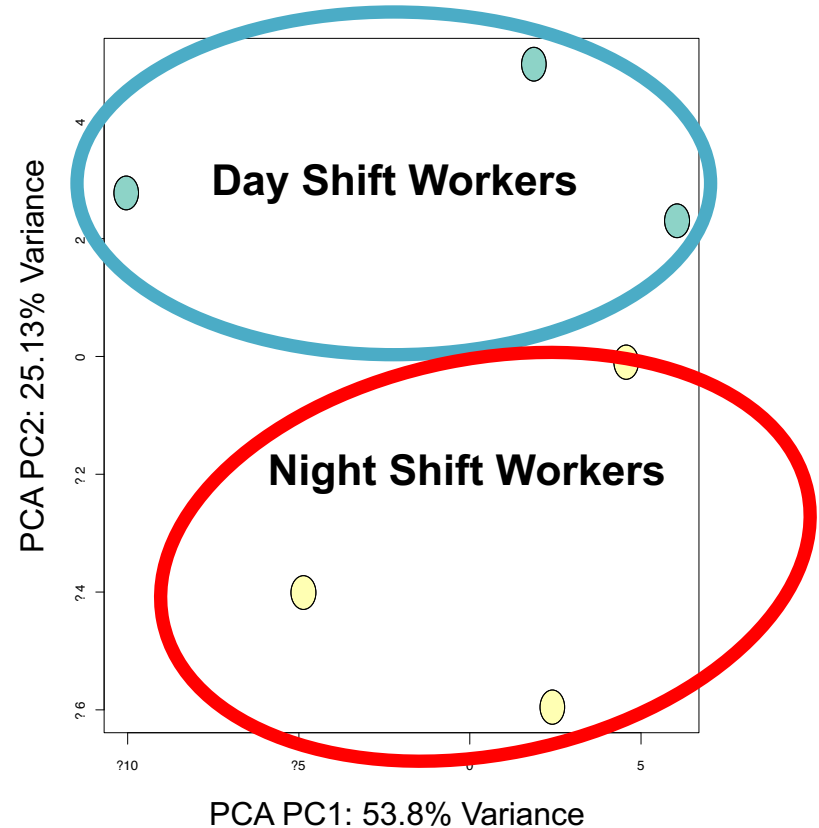
# Change in Intestinal Permeability After Moderate Alcohol Consumption



# Fecal Microbiota Analysis in Day Shift and Night Shift Workers



- (A) Peptostreptococcaceae
- (B) Turicibacteraceae
- (C) Clostridiaceae
- (D) Pseudomonadaceae





# Conclusion

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- Health disparities due to SES may be related to sub-optimal intestinal microbiota communities associated with different lifestyle, genetic, or environmental factors associated with different neighborhoods
- Targeting the intestinal microbiota with strategies to alter the intestinal microbiota (high fiber diet or dietary supplementation) may be a viable strategy to reduce SES related health disparities

# Impact of SES on microbiota was reported in A Subsequent Study

ORIGINAL ARTICLE: GASTROENTEROLOGY

## Gut Microbiota Differences in Children From Distinct Socioeconomic Levels Living in the Same Urban Area in Brazil

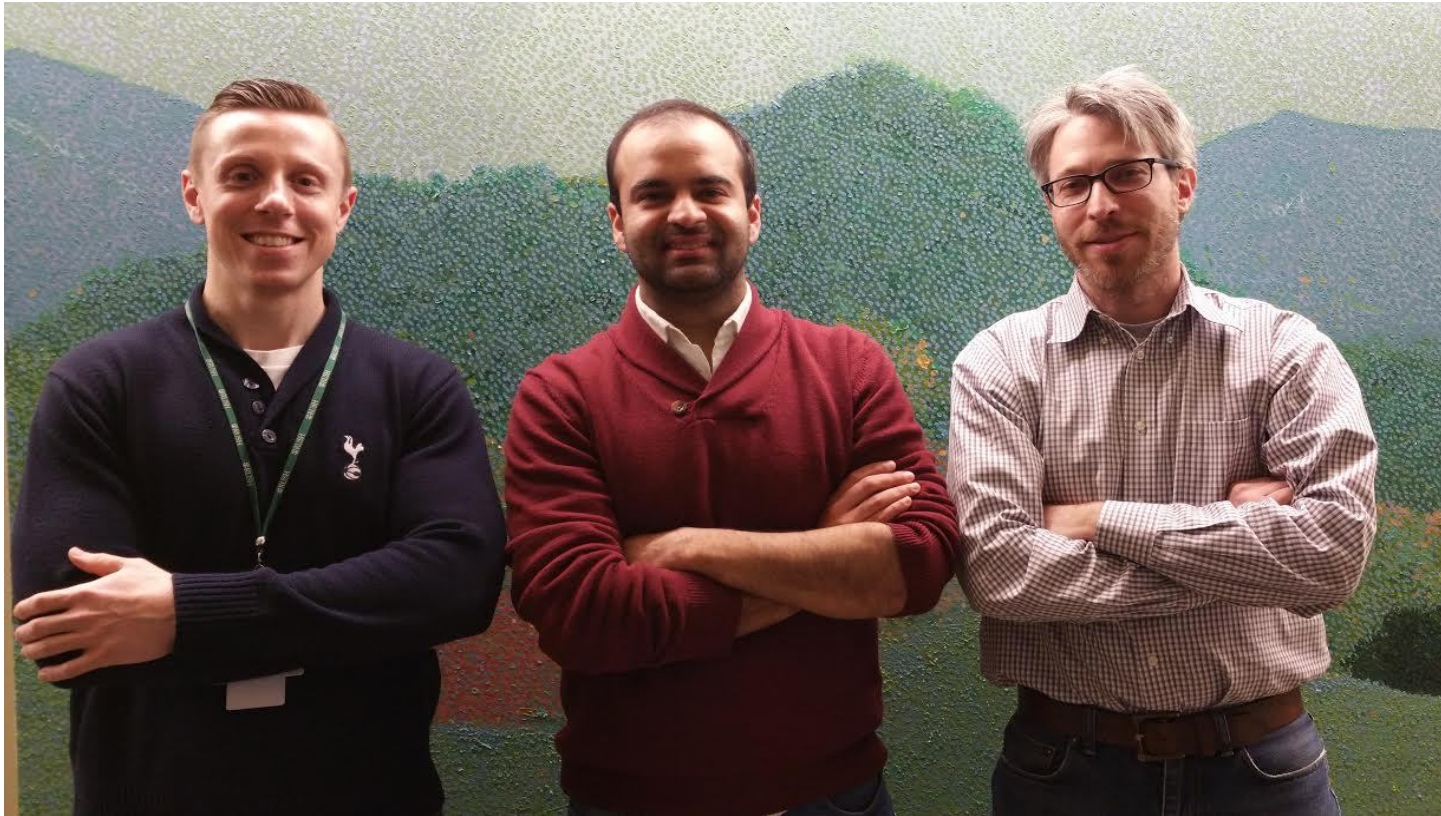
\*Carolina S. Mello, †Mirian S. Carmo-Rodrigues, ††Humberto B.A. Filho, ††Lígia C.F.L. Melli, ‡Soraia Tahan, §Antônio C.C. Pignatari, and ‡Mauro B. de Moraes

TABLE 3. Bacterial genera and species (colony-forming units: CFU/g feces) in stool samples representing the colonic microbiota of the slum children and children from the private school

		Slum (n = 100)	Private school (n = 30)	P*
Total eubacteria	CFU/g ( $\times 10^{14}$ )	2.32 (0.40–10.88)	0.02 (0.0–0.05)	<0.001
Bacteroidetes phyla	CFU/g ( $\times 10^9$ )	1.61 (0.51–2.53)	0.12 (0.02–0.46)	<0.001
<i>Bacteroides fragilis</i>	CFU/g ( $\times 10^{10}$ )	1.22 (0.21–6.12)	0.43 (0.05–4.22)	0.219
Firmicutes phyla	CFU/g ( $\times 10^8$ )	0.96 (0.35–3.07)	0.13 (0.04–0.43)	<0.001
<i>Lactobacillus</i> spp.	CFU/g ( $\times 10^7$ )	6.45 (1.71–31.15)	2.56 (0.23–12.50)	0.016
<i>Clostridium difficile</i>	CFU/g ( $\times 10^3$ )	1.69 (0.78–7.26)	8.85 (2.1–17.78)	0.002
<i>Clostridium perfringens</i>	CFU/g ( $\times 10^5$ )	0.71 (0.17–6.08)	10.91 (1.52–39.76)	<0.001
<i>Staphylococcus aureus</i>	CFU/g ( $\times 10^5$ )	5.20 (1.81–24.50)	5.23 (0.19–17.56)	0.483
<i>Bifidobacterium</i> spp.	CFU/g ( $\times 10^5$ )	4.30 (0.97–21.18)	4.31 (2.13–14.58)	0.719
<i>Salmonella</i> spp.	CFU/g ( $\times 10^2$ )	2.13 (0.84–7.29)	8.86 (3.02–22.35)	0.046
<i>Escherichia coli</i>	CFU/g ( $\times 10^9$ )	1.38 (0.30–11.84)	0.37 (0.07–2.75)	0.037
<i>Methanobrevibacter smithii</i>	CFU/g ( $\times 10^7$ )	3.34 (1.04–8.71)	0.02 (0.0–0.55)	<0.001

\*Mann-Whitney test (median and 25th and 75th percentiles).

# Microbiota and Bioinformatics Collaboration Team

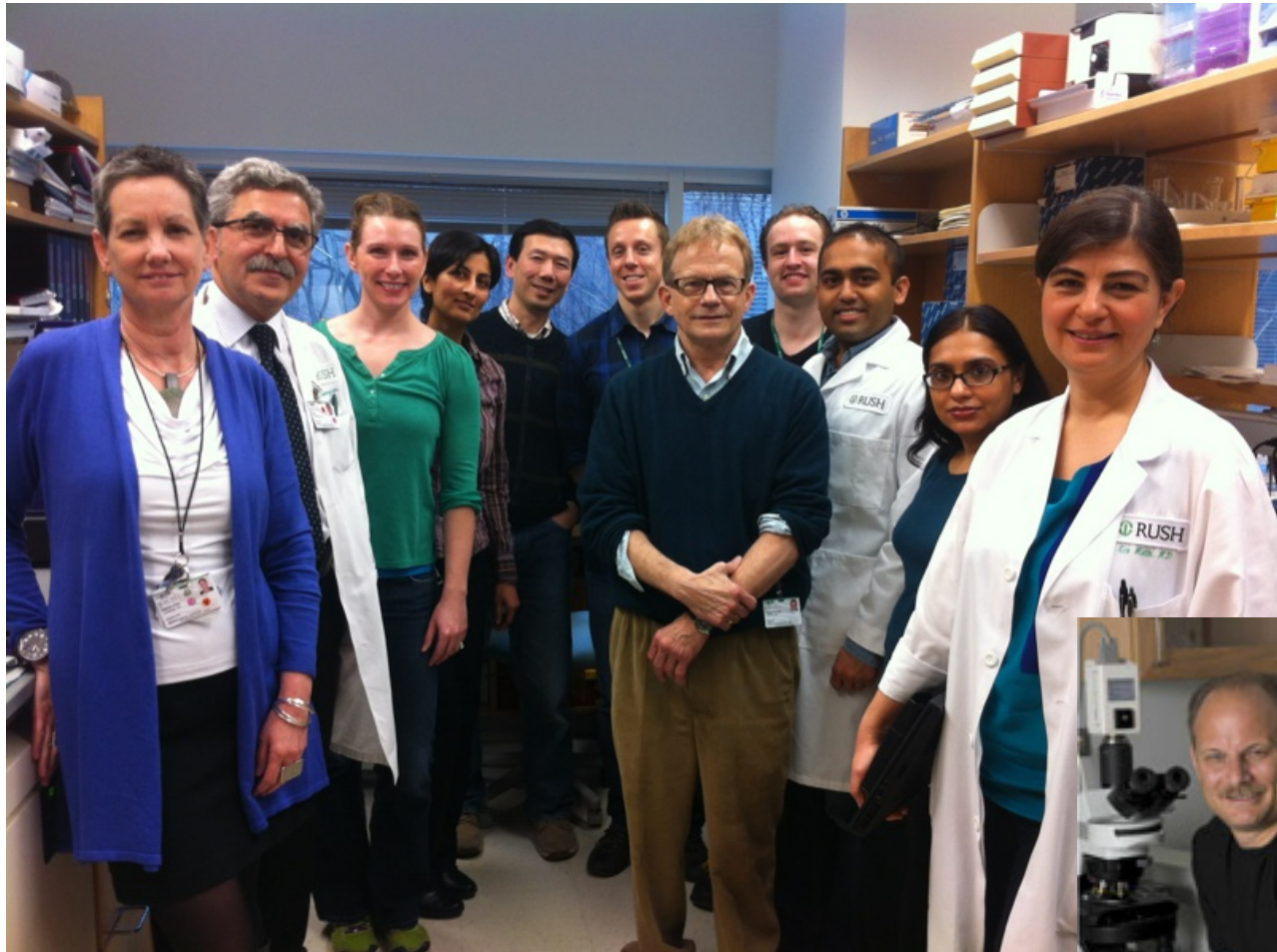


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**Ankur Naqib**  
PhD Student  
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**Stefan J. Green, PhD**  
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DNA Services Facility  
University of Illinois at  
Chicago

# The Research Team



# Neighborhood SES Predicts $\alpha$ -Diversity After Adjusting for Covariates

**S4 Table. Correlations between neighborhoods SES, alpha-diversity indices, and covariates.**

	Neighborhood SES Composite $n=44$	Sigmoid Mucosa Shannon Index $n=41$	Sigmoid Mucosa Chao1 Index $n=41$	Feces Shannon Index $n=26$	Feces Chao1 Index $n=25$
Age	0.15	-0.06	-0.07	-0.08	0.10
Gender	0.03	-0.05	-0.05	-0.14	-0.31
Caucasian	0.47 <sup>^</sup>	0.24	0.24	0.14	0.12
African-American	-0.51 <sup>^</sup>	-0.21	-0.19	-0.01	0.04
Body Mass Index	-0.39*	-0.27	-0.26	-0.24	-0.12
Current Smoker	0.04	0.16	0.17	-0.23	-0.26
Alcohol Use	-0.04	0.07	0.06	0.04	0.34

Values are Pearson (for age, body mass index, alcohol) or Point-Biserial correlations (for Gender, Caucasian, African-American, and Smoking). Gender is coded as 0 = Male, 1 = Female. Caucasian, African-American, and Smoker are coded as 0 = No, 1 = Yes. For sigmoid mucosa, where  $n = 41$ , the critical value of  $r$  at  $\alpha = 0.05$  is 0.30. For Feces, where  $n = 26$ , the critical value of  $r$  at  $\alpha = 0.05$  is 0.37. \*  $p < 0.01$ ; <sup>^</sup>  $p < 0.001$ .

**S5 Table. Percent variance in alpha diversity explained by covariates.**

	Sigmoid Mucosa Shannon Index $n=41$	Sigmoid Mucosa Chao1 Index $n=41$	Feces Shannon Index $n=26$	Feces Chao1 Index $n=25$
Age	0.004	0.005	0.006	0.010
Gender	0.003	0.003	0.020	0.096
Caucasian	0.058	0.058	0.020	0.014
African-American	0.044	0.036	0.000	0.002
Body Mass Index	0.073	0.068	0.058	0.014
Current Smoker	0.026	0.029	0.053	0.068
Alcohol Use	0.005	0.004	0.002	0.116

Table shows  $R^2$  values, reflecting percentage of variance in alpha diversity indices explained by each covariate (for age, body mass index, alcohol). Gender is coded as 0 = Male, 1 = Female. Caucasian, African-American, and Smoker are coded as 0 = No, 1 = Yes. For sigmoid mucosa, where  $n = 41$ , the critical value of  $r$  at  $\alpha = 0.05$  is 0.30. For Feces, where  $n = 26$ , the critical value of  $r$  at  $\alpha = 0.05$  is 0.37. \*  $p < 0.01$ ; <sup>^</sup>  $p < 0.001$ .